



PTO/89/21 (03-03)
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/863,566	
	Filing Date	September 18, 2000	
	First Named Inventor	Glenn Adler	
	Art Unit	2173	
	Examiner Name	Shawn M. Becker	
Total Number of Pages in This Submission	13	Attorney Docket Number	U5000231

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Bradley M. Ganz
Signature	
Date	December 3, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>December 3, 2003</u>			
Typed or printed	Shannon Verboort		
Signature		Date	December 3, 2003

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PTO/BB/17 (10-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 86.00)

Complete if Known

Application Number 09/663,586
 Filing Date September 18, 2000
 First Named Inventor Glenn Adler
 Examiner Name Shawn M. Becker
 Art Unit 2173
 Attorney Docket No. US000231

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number 50-1001

Deposit Account Name Bradley M. Ganz

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	4	86.00	86.00
Multiple Dependent Claims			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 48	Independent claims in excess of 8
1203 280	2203 148	Multiple dependent claims, if not paid
1204 88	2204 48	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 86.00)		

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 820*	1804 820*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1261 110	2261 55	Extension for reply within first month	
1262 420	2262 210	Extension for reply within second month	
1263 860	2263 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 320	2402 165	Filing a brief in support of an appeal	
1403 280	2403 145	Request for oral hearing	
1431 1,510	1431 1,510	Petition to institute a public use proceeding	
1432 110	2432 55	Petition to revive - unavoidable	
1433 1,330	2433 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (for reissue)	
1502 430	2502 210	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1480 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 800	1802 800	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Bradley M. Ganz

Registration No. 34,170

(Attorney/Exam)

(Complete if applicable)

Telephone 503-224-2713

Signature

Date 12/3/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/BB/17 (10-08)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2004

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☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 86.00

Complete If Known

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First Named Inventor	Glenn Adler
Examiner Name	Shawn M. Becker
Art Unit	2173
Attorney Docket No.	US000231

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OFFICIAL**METHOD OF PAYMENT (check all that apply)**

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

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Deposit Account Name: Bradley M. Ganz

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Independent Claims	4	20	80
Multiple Dependent	1	86.00	86.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
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1201 86	2201 43	Independent claims in excess of 3
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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

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1802 800	2802 400	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**SUBMITTED BY**

Name (Print/Type)

Bradley M. Ganz

Registration No.
(Attorney/Agent)

34,170

(Complete if applicable)

Telephone 503-224-2713

Signature

Date 12/3/2003

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TEL 503.224.2713 FAX 503.296.2172 EMAIL mail@ganzlaw.com
A Professional Corporation

OFFICIAL

Date December 31, 2003
To Mail Stop AF
Examiner Shawn Becker
From Bradley M. Ganz
Re Serial No. 09/663,586; Our file: US000231
Tel (703) 305-7756
Fax (703) 872-9306
Pages 14 + cover sheet

Dear Examiner Becker:

Per your telephone call this morning, please find attached a copy of the Amendment and Response to Office Action Dated October 22, 2003 and transmittal documents that were filed on December 3, 2003.

Sincerely,
Shannon Verboort
IP Paralegal

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239 NW 13th Avenue, Suite 309, Portland, Oregon 97209
TEL 503.224.2713 FAX 503.296.2172 EMAIL mail@ganzlaw.com
A Professional Corporation

Date December 3, 2003
To Examiner Shawn Becker
Mail Stop AF
From Bradley Ganz
Re Serial No. 09/663,586; Our File: US000231
Tel (703) 305-7756
Fax (703) 872-9306
Pages 13 + cover sheet

Dear Examiner Becker:

Please find attached the following documents for filing in connection with the above-referenced application:

- Transmittal;
- Fee Transmittal;
- Credit Card Payment Form; and
- Amendment and Response to Office Action Dated October 22, 2003.

Kind regards,
Bradley M. Ganz

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Accounting Date

Starts:

Ends:

☒ Name/Number: 09663586

☐ Attny Docket No:

☐ Deposit Account No.:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
12/09/2003	EFLORES	139	SALE	1806	A	180.00	09663586	
12/04/2003	EAREGAY1	252	SALE	1201	A	86.00	09663586	
08/07/2003	BHILLIAR	2	SALE	1251	A	110.00	09663586	
10/16/2000	GTON11	141	SALE	581	A	40.00	09663586	141270
09/29/2000	ASELLMAN	78	SALE	101	A	690.00	09663586	141270

4

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Adjustment Detail

Authorization Detail



Transaction List

Search by

☐ Accounting Date: Operator ID:
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☒ Name/Number: Starts: Ends:
☐ Attny Docket No.:
☐ Deposit Account No.:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
12/09/2003	EFLORES	139	SALE	1806	A	180.00	09663586	
12/04/2003	EAREGAY1	252	SALE	1201	A	86.00	09663586	
08/07/2003	BHILLIAR	2	SALE	1251	A	110.00	09663586	
10/16/2000	GTON11	141	SALE	581	A	40.00	09663586	141270
09/29/2000	ASELLMAN	78	SALE	101	A	690.00	09663586	141270



Adjustment Detail

Authorization Detail



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